

## Enhanced Competency Framework (ECF) on Compliance (Core & Professional Level) Training and Examination Schedule

### Important Notes:

- HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
- Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. ACOP\*/CCOP(GC)\*/CCOP(IC)\*).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

\*Recognised under the QF at Level 4, QR Registration No.: 23/000890/L4, Validity Period from 01/11/2023 to 31/07/2030

#Recognised under the QF at Level 5, QR Registration No.: 23/000891/L5, Validity Period from 01/11/2023 to 31/07/2030

^Recognised under the QF at Level 5, QR Registration No.: 23/000892/L5, Validity Period from 01/11/2023 to 31/07/2030

### Training<sup>1</sup>: 2026

- HKIB CPD<sup>2</sup>
 - SFC CPT

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline <sup>5</sup>	Fee <sup>6,7</sup> (HKD)
Ethics and Corporate Governance in Banking Industry <sup>4</sup> (Credit: 10)	1	THECO01P26011 FLEX Learning – Virtual Classroom	10 Jan 2026 (Sat)	10:00 - 13:00 & 14:00 - 17:00	Cantonese	CLOSED	4,400
			17 Jan 2026 (Sat)				
			24 Jan 2026 (Sat)				
		THECO01P26041 FLEX Learning – Virtual Classroom	11 Apr 2026 (Sat)	10:00 - 13:00 & 14:00 - 17:00	English	CLOSED	4,400
			18 Apr 2026 (Sat)				
			25 Apr 2026 (Sat)				
		THECO01P26071 FLEX Learning – Virtual Classroom	8 Jul 2026 (Wed)	19:00 – 22:00	Cantonese	23 Jun 2026 (Tue)	4,400
			15 Jul 2026 (Wed)				
22 Jul 2026 (Wed)							
THECO01P26101 FLEX Learning – Virtual Classroom			29 Jul 2026 (Wed)	19:00 – 22:00	Cantonese	22 Sep 2026 (Tue)	4,400
			5 Aug 2026 (Wed)				
			7 Oct 2026 (Wed)				
			14 Oct 2026 (Wed)				
Regulatory Framework and Compliance in Banking Industry <sup>4</sup> (Credit: 10)	2	THECO02P26021 FLEX Learning – Virtual Classroom	25 Feb 2026 (Wed)	19:00 – 22:00	Cantonese	CLOSED	4,400
			3 Mar 2026 (Tue)				
			10 Mar 2026 (Tue)				
			17 Mar 2026 (Tue)				
		THECO02P26051 FLEX Learning – Virtual Classroom	9 May 2026 (Sat)	10:00 - 13:00 & 14:00 - 17:00	English	24 Apr 2026 (Fri)	4,400
			16 May 2026 (Sat)				
		THECO02P26081 FLEX Learning – Virtual Classroom	23 May 2026 (Sat)	10:00 - 13:00	Cantonese	6 Aug 2026 (Thu)	4,400
			20 Aug 2026 (Thu)				
27 Aug 2026 (Thu)							
THECO02P26111 FLEX Learning – Virtual Classroom			3 Sep 2026 (Thu)	19:00 – 22:00	Cantonese	4 Nov 2026 (Wed)	4,400
			10 Sep 2026 (Thu)				
			17 Sep 2026 (Thu)				
			16 Nov 2026 (Mon)				
An Effective Compliance Function (Credit: 20)	3	THECO03P26061 Face-to-face Training	12 Jun 2026 (Fri) (LIVE)	19:00 – 22:00	English	29 May 2026 (Fri)	7,900
			26 Jun 2026 (Fri) (LIVE)				
			3 Jul 2026 (Fri) (LIVE)				
			10 Jul 2026 (Fri) (LIVE)				
			17 Jul 2026 (Fri) (VAT <sup>3</sup> )				
			24 Jul 2026 (Fri) (VAT <sup>3</sup> )				

Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	THECO04P26081 Face-to-face Training	12 Aug 2026 (Wed) (LIVE) 19 Aug 2026 (Wed) (LIVE) 26 Aug 2026 (Wed) (LIVE) 2 Sep 2026 (Wed) (LIVE) 9 Sep 2026 (Wed) (LIVE) 16 Sep 2026 (Wed) (VAT <sup>3</sup> ) 23 Sep 2026 (Wed) (VAT <sup>3</sup> )	19:00 – 22:00	English	29 Jul 2026 (Wed)	9,000
Investment and Insurance Compliance (Credit: 30)	5	(Updated) THECO05P26101 Face-to-face Training	7 Nov 2026 (Sat) 14 Nov 2026 (Sat) 21 Nov 2026 (Sat) 28 Nov 2026 (Sat)	14:00 - 17:00 10:00 - 13:00 & 14:00 - 17:00	English	26 Oct 2026 (Mon)	7,000

## Examination<sup>1</sup>: 2026

Candidates are suggested to select the most appropriate examination session according to their competence level and learning plan, including self-studying time to prepare for the examination.

Module Name	Module No.	Code	Date	Time	Application Deadline <sup>5</sup>	Fee <sup>7</sup> (HKD)
Ethics and Corporate Governance in Banking Industry (Credit: 10)	1	EHECO01P26021	24 Feb 2026 (Tue)	19:00 - 20:30	CLOSED	600
		EHECO01P26051	26 May 2026 (Tue)	19:00 - 20:30	26 Apr 2026 (Sun)	600
		EHECO01P26091	9 Sep 2026 (Wed)	19:00 - 20:30	9 Aug 2026 (Sun)	600
Regulatory Framework and Compliance in Banking Industry (Credit: 10)	2	EHECO02P26041	14 Apr 2026 (Tue)	19:00 – 20:30	CLOSED	600
		EHECO02P26061	30 Jun 2026 (Tue)	19:00 – 20:30	30 May 2026 (Sat)	600
		EHECO02P26101	15 Oct 2026 (Thu)	19:00 – 20:30	15 Sep 2026 (Tue)	600
An Effective Compliance Function (Credit: 20)	3	EHECO03P26081	25 Aug 2026 (Tue)	19:00 – 21:30	25 Jul 2026 (Sat)	1,600
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	EHECO04P26101	10 Nov 2026 (Tue)	19:00 – 22:00	10 Oct 2026 (Sat)	1,600
Investment and Insurance Compliance (Credit: 30)	5	(Updated) EHECO05P26111	Jan 2027 (TBC)	19:00 – 22:00	TBC	TBC

**Special Note:** Learners are required to complete the training before attempting the examination.

### Programme Details:

Programme/ Module Outline	:	Please refer to programme brochure and handbook for details.
Venue:	:	3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
Enrolment	:	Applicants can submit the application via <a href="#">MyHKIB</a> in advance at most 6 months before.

### Notes:

Note	Category	Details
1	Training / Examination	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.
2	CPD	By attending the above training, Professional Qualification holders of AAML, CAMLP, ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(GPM), CPFinT(A), CPFinT(M), CPFinT(S-AIBD), CPFinT(S-DLT), CPFinT(S-OBAP), CPFinT(S-RT), AGFP(PS), AGFP(ClimRM), AGFP(SDR), AGFP(SSCC), CGFP(GSF-P), CGFP(GSF-I), CGFP(SRM), CGFP(SDR), CGFP(SSCC), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours.
3	VAT Training Mode	Designated chapters of Module 3 & 4 are delivered using Video-Assisted Training with pre-recorded videos and facilitation support of designated teaching assistant.

<b>4</b>	<b>Module Content</b>	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
<b>5</b>	<b>Late Application</b>	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
<b>6</b>	<b>Training Material</b>	Digital version of training material (e.g. Study Guide, PPT) will be provided before training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.
<b>7</b>	<b>Fee Discount</b>	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.

**For General Enquiries / Feedback:**

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)

Tel: (852) 2153 7800

Email: [cs@hkib.org](mailto:cs@hkib.org)